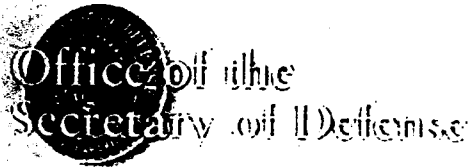


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DEPARTMENT OF DEFENSE SPECIAL ACCESS PROGRAMS

**PRESENTED TO:
DEFENSE SCIENCE BOARD**

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AGENDA

☞ Purpose

- ☞ The What and Why of Special Access Programs
- ☞ OSD SAP Organization
- ☞ SAP Policy
- ☞ OSD SAP Acquisition Oversight
- ☞ Congressional Reporting

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Purpose

To present an overview of the Office of the Secretary of Defense's Special Access Program Coordination Office organization and process for acquisition oversight.

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What and Why of SAPs

☞ What are SAPs?

As authorized by E.O. 12958, any program which imposes “need-to-know” and other enhanced security measures ***beyond those normally*** required for access to collateral information.

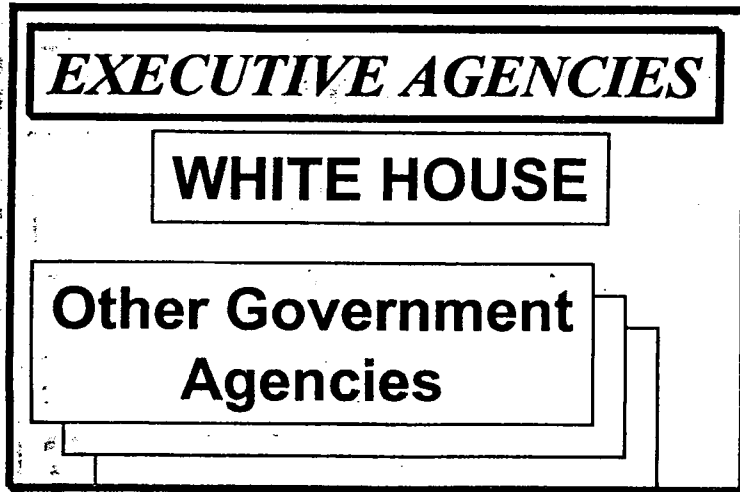
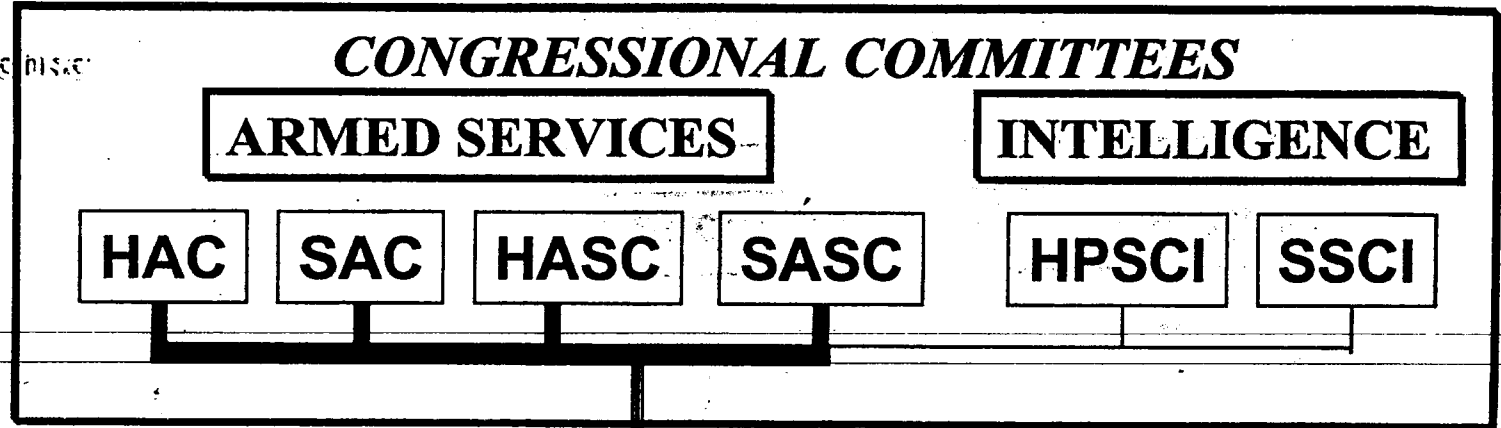
☞ Why do we have SAPs?

- ☞ Protect significant U.S. Operational and Technological breakthroughs
- ☞ Protect our Exploitation of Adversary Vulnerabilities
- ☞ Reduce Intelligence on U.S. Force Capabilities
- ☞ Protect Sensitive Operational Plans

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SAPOC

SRG

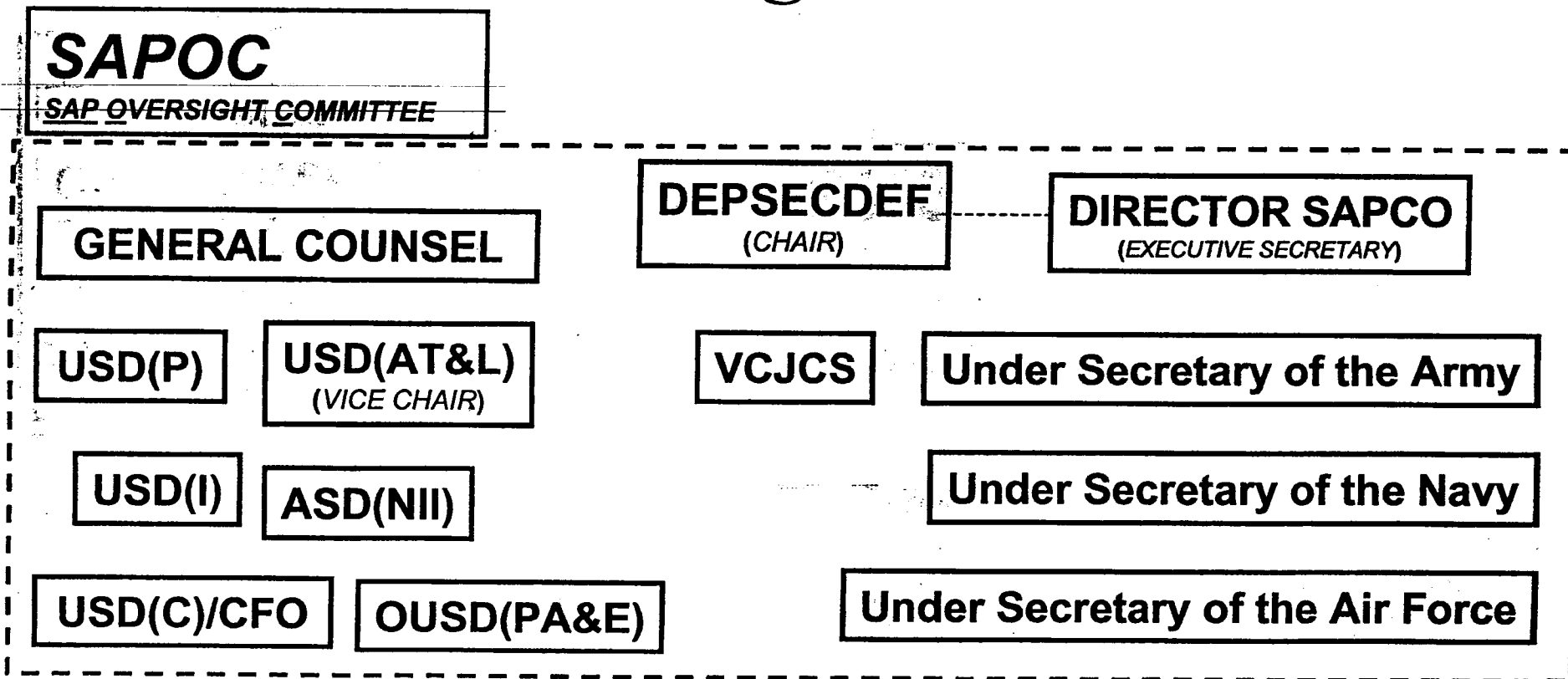


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Special Access Program Oversight Structure



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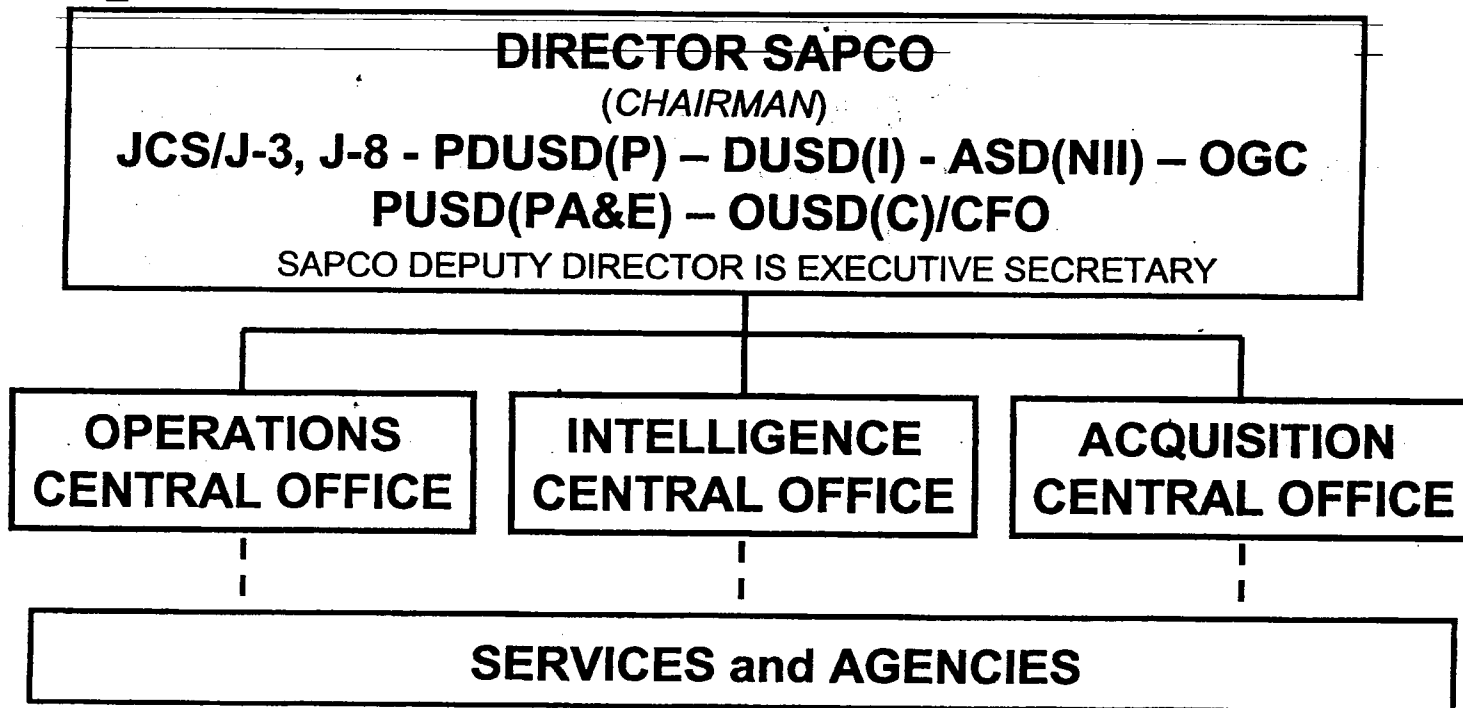
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Office of the
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Special Access Program Senior Review Group

SRG

SENIOR REVIEW GROUP



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SAP CATEGORIES

- ☛ **ACQUISITION** - Established primarily for the protection of sensitive RDT&E, or procurement activities in support of sensitive military and intelligence requirements.
- ☛ **OPERATIONS & SUPPORT** - Established primarily for the protection of the planning and execution of sensitive military operations, or to provide sensitive support to non-DoD agencies.
- ☛ **INTELLIGENCE** - Established primarily for the protection of the planning and execution of sensitive intelligence or counter-intelligence operations, the intelligence collected or the exploitation of that intelligence.

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SAP POLICY

- ☞ ~~Section 119 of Title 10, United States Code~~
- ☞ Executive Order 12958, “Classified National Security Information,” April 17, 1995
- ☞ DoD Directive O-5205.7, “Special Access Program (SAP) Policy,” January 13, 1997
- ☞ DoD Instruction O-5205.11, “Management, Administration, and Oversight of DoD Special Access Programs (SAPs),” July 1, 1997

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Acquisition Oversight

☛ Program Management

- ☛ DoD 5000.1, The Defense Acquisition System
- ☛ DoD 5000.2, Operation of the Defense Acquisition System
- ☛ CJCSM 3170.01E, Joint Capabilities Integration and Development System

SAP Programs follow the same acquisition oversight as all other DoD programs.

☛ Procurement

- ☛ Federal Acquisition Regulation (FAR)
- ☛ Defense Federal Acquisition Regulation Supplement (DFARS)

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Acquisition Oversight (continued)

☞ Re-occurring Management Controls

- ☞ Annual Program Listing to Congress (10 U.S.C. § 119, 1 February)
- ☞ Annual Report to Congress (10 U.S.C. § 119, 1 March)
- ☞ Biannual Program Objective Memorandum (POM)
- ☞ Biannual Program Change Proposal/Budget Change Proposal (PCP/BCP)
- ☞ Annual Program Budget Reviews
- ☞ SRG Reviews and makes recommendation all program changes, restructures, and terminations as necessary
- ☞ SAPOC approves all program changes, restructures and terminations

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Acquisition Oversight (continued)

- ☛ Annual Acquisition Oversight Initiative (AOI)
report to the Under Secretary of Defense
(Acquisition, Technology, & Logistics)
- ☛ Quarterly AOI Integrated Process Team (IPT)
reviews of designated AOI Programs
- ☛ Audits as required by
 - ☛ GAO
 - ☛ DoD IG
- ☛ Contract Management Review & Oversight
 - ☛ DCMA
 - ☛ DCAA

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CONGRESSIONAL REPORTING

- ☞ Covered under Title 10, U.S.C. § 119
- ☞ SAP reporting requirements to Congress:
 - ☞ ~~Annual Report due 1 March each year~~
 - ☞ Additional requirements for establishing, terminating, and any significant change in classification
- ☞ SECDEF may WAIVE Congressional reporting if inclusion would adversely affect National Security
- ☞ If SECDEF, in his oversight functions, exercises WAIVER authority, SAP information, and justification for Waiver, will be provided to the Chairmen and Ranking Minority Members of the Defense Committees
- ☞ New Start Reporting/Authority

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